STATE SAFETY DATA CAPABILITY ASSESSMENT

Webinar 1

March 9, 2011

▶ **Learn more at:**
safety.fhwa.dot.gov/rsdp

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Webinar 1 Agenda

- Welcome, Introductions and Webinar Purpose

- Project Overview

- Proposed Assessment Framework and Process

- Example Questionnaire

- Feedback

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Overview of the VHB Team

- VHB
  - Ms. Kim Eccles
    - Technical Director keccles@vhb.com
  - Mr. Mike Sawyer
    - Task Order Principal Investigator msawyer@vhb.com
  - Ms. Nancy Lefler
    - Project Manager, TWG Coordinator nlefler@vhb.com

- *Supported by:*
  - UNC – Highway Safety Research Center
  - Data Nexus Inc.
  - NAVIGATS, Inc
  - Dr. Forrest Council

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Webinar Purpose

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Webinar Purpose

- Provide update on project status

- Provide proposed assessment framework and process

- Obtain feedback

  - **Four areas and elements/subareas:**

    - *Are these the correct areas and elements to assess?*

  - **Five maturity levels for each element:**

    - *Are the maturity levels well defined and easily understood?*

  - **Questions for each element:**

    - *Are these questions meaningful?*

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Project Overview

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Project Overview

- Brand and Logo

- Objectives

- Communication and Outreach

- Current Status

- Pilot States

- Schedule

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Brand and Logo

Objectives

- To create and carry out a process to assess each State's roadway data capabilities.

- To create a current baseline for each State and the Nation.

- To identify gaps and where States would like to be.

- To propose actions to move each State and the Nation forward in safety data analysis.

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Communication and Outreach

- Website

- Project Summary Sheet

- Engaging:
  - Technical Working Groups
  - FHWA Division Offices
  - NHTSA Regions
  - States
  - Communities of Interest

Proposed Website

Current Status

- Draft Assessment Process is under review

- Pilot States have been selected

- Existing State resources being collected

- Outreach initiatives underway

- Potential funding source summary underway

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Pilot States

- Massachusetts - Urban

- Minnesota - Locally-maintained

- Montana - Rural

- North Carolina - State-maintained

- To be assessed in March and April

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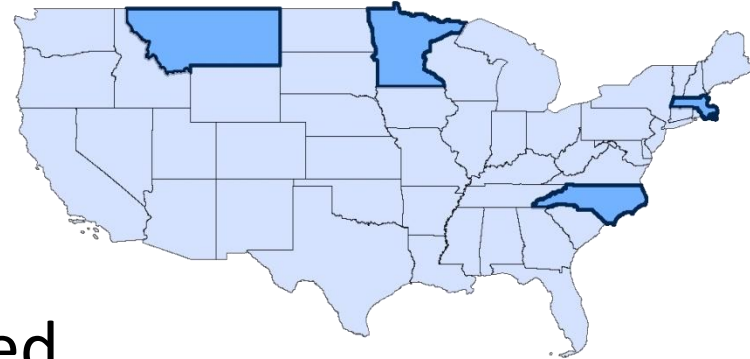
Schedule

- Pilot State Results   Webinar 2 – May 10, 2011
- Mid-Point Report   Webinar 3 – August 30, 2011
- Final Report   Webinar 4 – July 10, 2012

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Proposed Assessment Framework and Process

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Overview of Assessment Process

- Lead Assessor assigned to each State
- Defendable, repeatable, consistent process

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Capability Maturity Model

- Systematic, objective assessment (not judgment)
- Five-point scale - less to more mature
- Each State will be placed in one of the five levels
- Provides FHWA quantitative results
- Provides State qualitative level and recommendations for moving to next level

	Level 1	Level 2	Level 3	Level 4	Level 5
Capability	Initial / Ad-hoc	Repeatable	Defined	Managed	Optimizing

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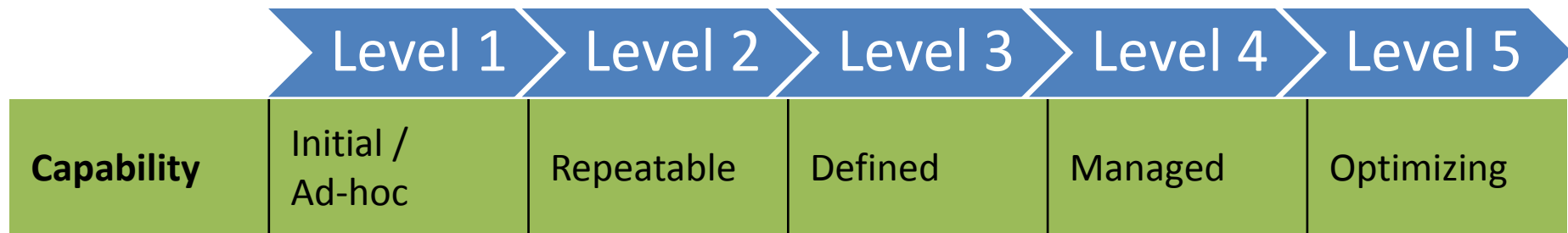
Assessment Framework

- Focus Areas

- Subareas/Elements
  - Each will have maturity level criteria
  - Key questions for Assessor to determine level
  - Modular – can stand alone or be combined

- Scoring to achieve overall capability level

- Additional qualitative questions
  - Desired level of the State
  - Key barriers
  - Resources/tools that would be beneficial to the State

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Questionnaire

- Key questions developed to determine maturity level for each element

- Questionnaire will be web-based = "Assess-inar"

- Completed by project team based on resources prior to assessinar (reduce burden to the State)

- States review and provide feedback during assessinar

- Determine if site visit is needed

EXAMPLE - QUESTIONNAIRE

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Scoring Overview

Questionnaire Responses

Element Score

Focus Area Score

State Score

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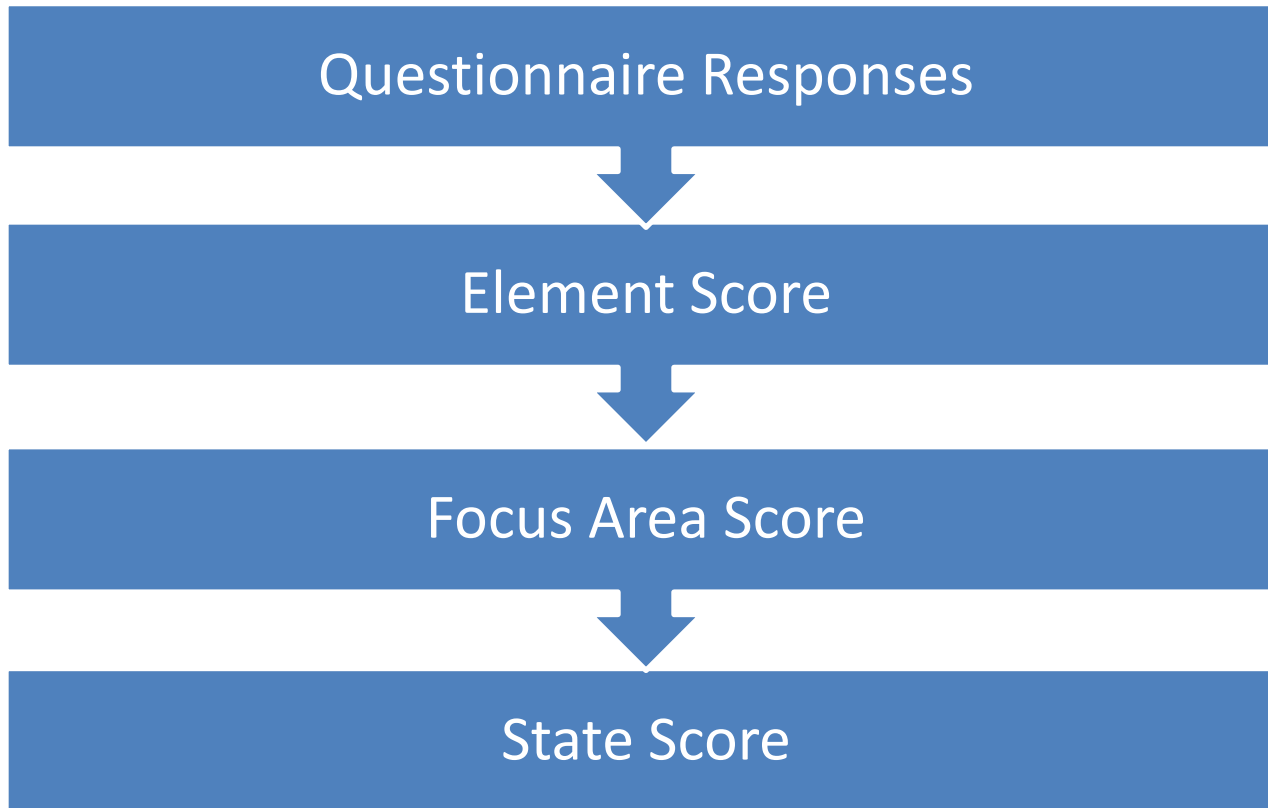
Consistency

- Consistent process and scoring criteria to assess each State…
  - Expert Lead Assessors
  - Questionnaire
  - Pilot State testing
  - Common thread – TOPI
    - Attends every assessment
    - Errors corrected immediately
    - Continuous improvement

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Focus Areas

- Area 1: Roadway Data Collection/Technical Standards

- Area 2: Data Analysis, Tools and Uses

- Area 3: Data Management and Governance

- Area 4: Data Interoperability and Expandability

Key Area 1 Elements

- **Roadway Data Collection/ Technical Standards**
  - Coverage
  - Schedule
  - Verification
  - Uniformity/Consistency

- Each element will look at data categories: roadway segments, intersections, interchanges, ramps, curves, and grades

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Key Area 2 Elements

- **Data Analysis, Tools and Uses**
  - Network Screening
    - Method
    - Data
    - Coverage
  - Diagnosis
  - Countermeasure Selection
  - Evaluation
    - Project
    - Program
  - Accessibility
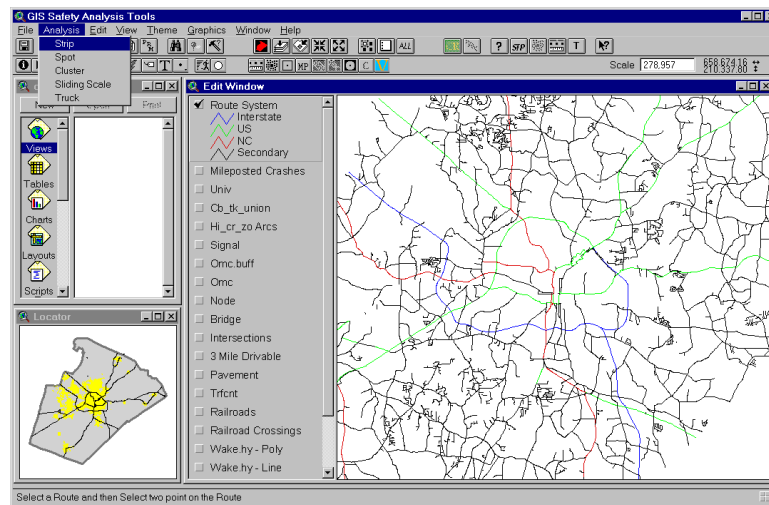
Key Area 3 Elements

- **Data Management and Governance**
  - People
  - Policies
  - Technology

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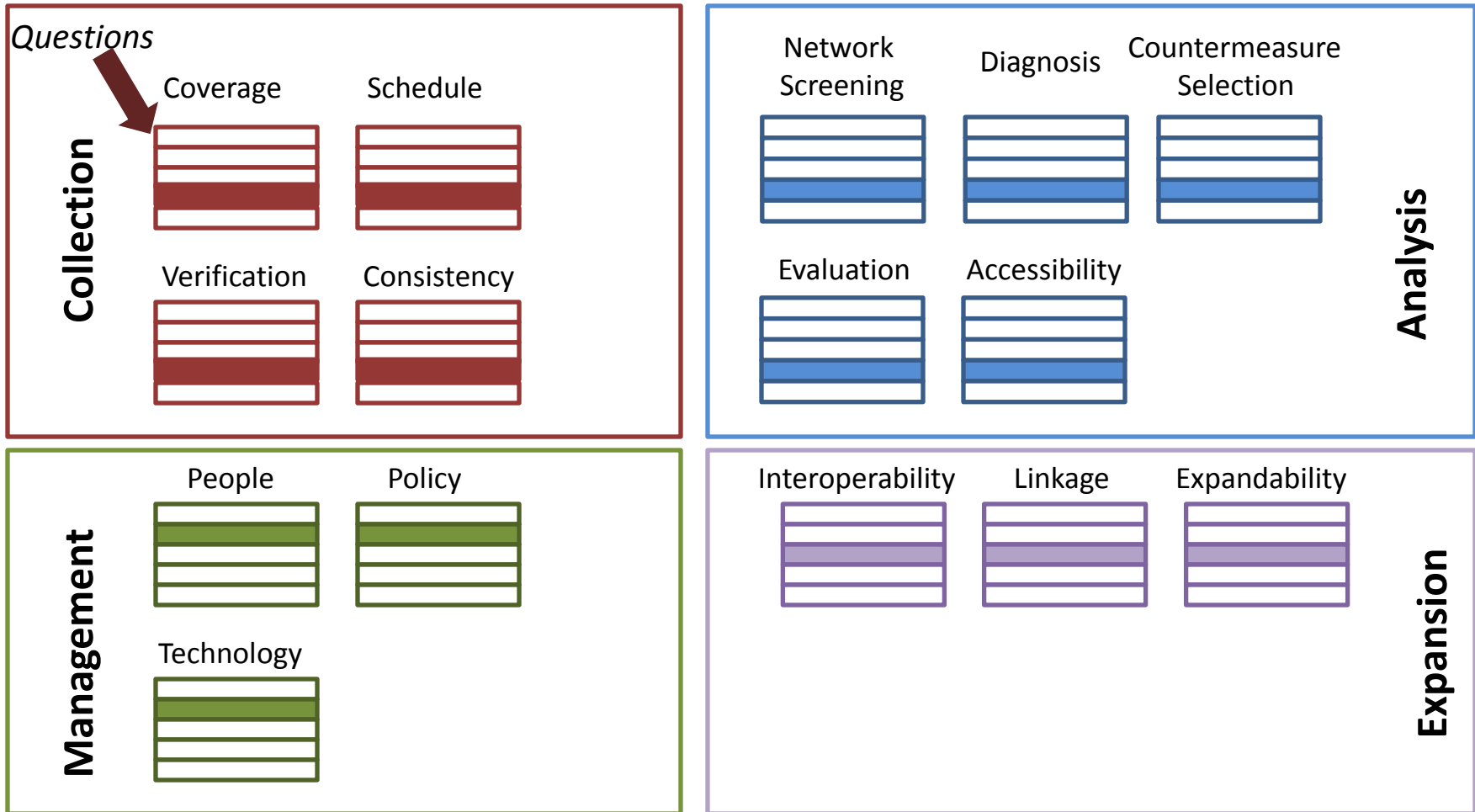# Key Area 4 Elements

- **Data Interoperability and Expandability**
  - Expandability
  - Linkage
  - Interoperability

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Element Maturity Level

Area Maturity Level

Consistency (XX%)			
Verification (XX%)			
Schedule (XX%)			
Coverage (XX%)			

Data Collection (XX%)	Data Analysis (XX%)	Data Management (XX%)	Data Expandability (XX%)
		This State is a Level 5	
			This State is a Level 4
	This State is a Level 3		
This State is a Level 2			

Apply Checkpoints and Dependencies

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State Data Capability Maturity Level
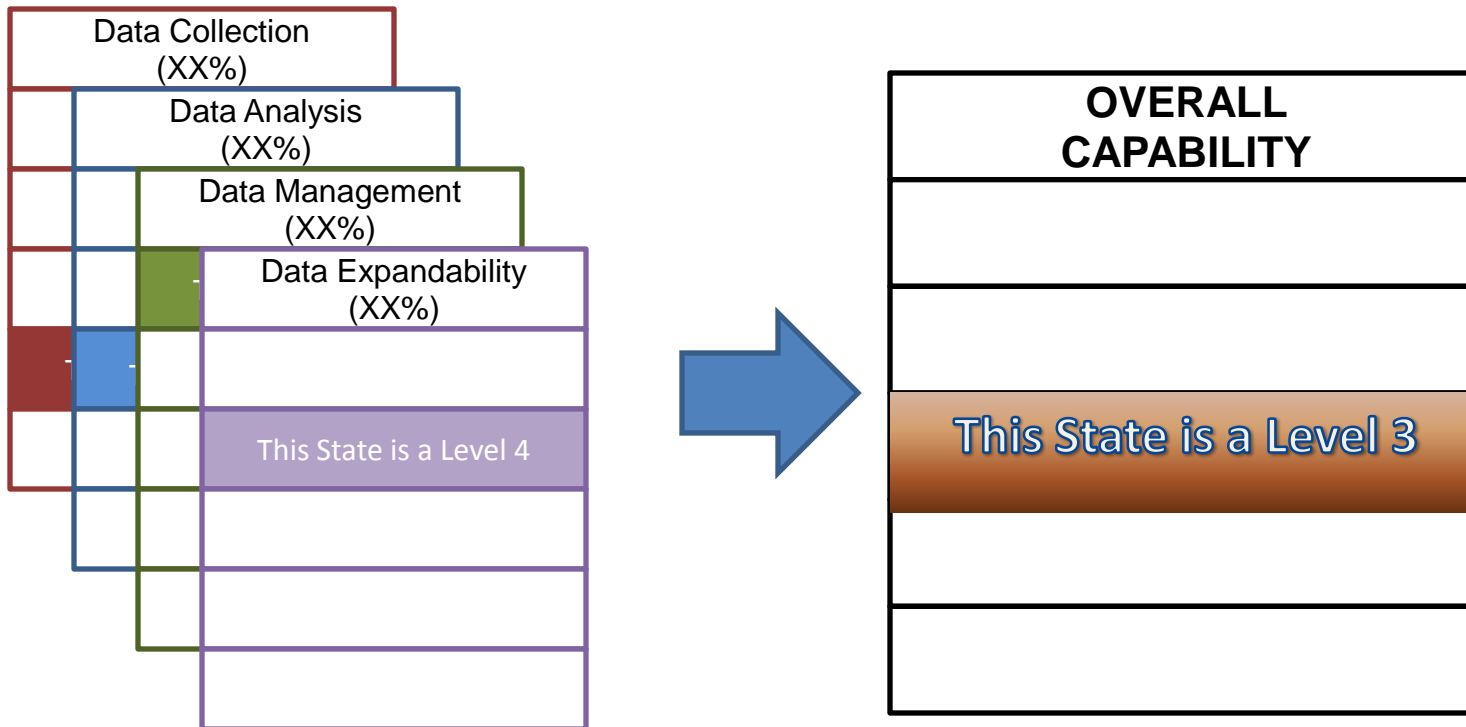
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FEEDBACK

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Feedback – Areas and Elements

- **Four areas and elements/subareas:**
  - *Are these the correct areas and elements to assess?*

  - *Would these areas and elements have meaning once they are established and assessed?*

  - *Are there any missing?*

  - *Are there too many?*

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Feedback – Maturity Levels

- **Five maturity levels for each element:**

  – *Are the maturity levels well defined and easily understood?*

  – *Will the levels help a State understand where they are and where they would like to be?*

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Feedback - Questions

- **Questions for under each element:**
  - *Are these questions meaningful?*

  - *Are they too specific or overly broad?*

  - *Will they assist our lead assessors in determining the appropriate maturity level?*

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Ways to Provide Additional Feedback

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